C++ const and Immutability: An Empirical Study of Writes-Through-const

Jon Eyolfson¹ and Patrick Lam²

- 1 University of Waterloo Waterloo, ON, Canada jeyolfso@uwaterloo.ca
- 2 University of Waterloo Waterloo ON, Canada patrick.lam@uwaterloo.ca



— Abstract

The ability to specify immutability in a programming language is a powerful tool for developers, enabling them to better understand and more safely transform their code without fearing unintended changes to program state. The C++ programming language allows developers to specify a form of immutability using the **const** keyword. In this work, we characterize the meaning of the C++ **const** qualifier and present the ConstSanitizer tool, which dynamically verifies a stricter form of immutability than that defined in C++: it identifies **const** uses that are either not consistent with transitive immutability, that write to mutable fields, or that write to formerly-**const** objects whose **const**-ness has been cast away.

We evaluate a set of 7 C++ benchmark programs to find writes-through-**const**, establish root causes for how they fail to respect our stricter definition of immutability, and assign attributes to each write (namely: synchronized, not visible, buffer/cache, delayed initialization, and incorrect). ConstSanitizer finds 17 archetypes for writes in these programs which do not respect our version of immutability. Over half of these seem unnecessary to us. Our classification and observations of behaviour in practice contribute to the understanding of a widely-used C++ language feature.

1998 ACM Subject Classification D.3.3 Language Constructs and Features

Keywords and phrases empirical study, dynamic analysis, immutability

Digital Object Identifier 10.4230/LIPIcs.ECOOP.2016.

1 Introduction

Immutability is an important concept that simplifies reasoning about programs and eases software maintenance. Most importantly, immutability circumscribes possible side effects, so that (in some cases) a user of a function may avoid closely examining the implementation of the function and its callees. One concrete application of immutability is: if a developer knows that a library function does not modify one of its arguments (including transitive arguments), then they know that it is safe to call that library function with that argument from multiple threads, as the function only requires read access to its argument.

C++ [6] is a popular language that allows programmers to specify immutability using **const**¹. C++ experts such as Meyers recommend judicious use of "**const**-correctness" [7] in C++ codebases. It is generally clear when developers could use **const**, but not (in non-obvious cases) when they should use **const**.

¹ Some of our discussion also applies to C, but we focus on C++ in this paper.



[©] Jonathan Eyolfson and Patrick Lam;

licensed under Creative Commons License CC-BY

30th European Conference on Object-Oriented Programming (ECOOP 2016). Leibniz International Proceedings in Informatics

LIPICS Schloss Dagstuhl – Leibniz-Zentrum für Informatik, Dagstuhl Publishing, Germany

XX:2 C++ const and Immutability: An Empirical Study of Writes-Through-const

We distinguish between two uses of C++'s **const** qualifier: **const**-qualified global/stack objects, whose data may never change (i.e. immutable objects), and **const**-qualified references/pointers to objects (i.e. read-only references [9]). (For now, assume that there are no casts that remove **const** qualifiers and no **mutable** storage class specifiers; these language features violate immutability.) An *immutable object*'s fields may never change, and mutable references to that object may never be created. A *read-only reference*, on the other hand, only guarantees immutability for accesses through qualified references. An object with a read-only reference to it may still be mutated through other, mutable, references. C++ enforces a shallow immutability guarantee (also known as bitwise **const**) for writes through the read-only reference: while it is illegal to reassign the fields of such an object, any references of fields may change. We expand on this in Section 3.

C++'s type system admits several workarounds to **const**'s supposed immutability guarantees. Much research defines type qualifiers similar to C++ **const**, but with stronger guarantees. These type systems do not have any holes in the type system, such as unsafe casts. Furthermore, they not only ensure that the field values do not change, but also ensure that objects referenced through fields also do not change (i.e. deep, or transitive, immutability; again, see Section 3 for more details).

On the other hand, our industrial contacts have indicated that, in their codebases, **const** has been used to deter new developers from modifying certain variables. Such variables may be modified by an experienced developer ready to assume the consequences [4]. In such cases, **const** serves an advisory role, but does not provide any guarantees.

Our goal is to explore the space of possible meanings for immutability declarations in C++ and to examine what guarantees developers appear to be expecting in practice. We developed a tool, ConstSanitizer, that instruments programs to identify source locations that modify **const**-qualified objects, using more restrictive semantics than guaranteed by C++. ConstSanitizer monitors writes-through-**const**, i.e. writes performed on **const**-qualified objects or references, either transitively (which is allowed in C++), or through C++'s **const** escape hatches. To better understand **const** usage in practice, we ran ConstSanitizer on a benchmark suite and manually classified all writes-through-**const**.

Specifically, the goal of this work is to answer the following research questions:

(RQ1) Do developers perform shallow and transitive writes-through-const?

(Answer: Yes to both.)

(**RQ2**) How do developers write-through-const?

(Answer: By directly writing to fields of **const**-qualified objects and through transitive writes; both are about equally common.)

(RQ3) Why do developers write to fields of const-qualified objects? (Answer: Buffers and delayed initialization were important reasons, but over half the time, we couldn't find any clear reason motivating developers' decisions to write through const.)

Section 8 presents our detailed answers to these questions.

Our contributions include:

- the design and implementation of a novel dynamic analysis for C++ that detects writesthrough-const-qualified variables (both shallow and transitive);
- an empirical study of const usage (including writes-through-const-qualifiers) on a suite of 7 C++ benchmarks; and,
- based on the empirical study, a novel classification of writes-through-const-qualifiers in the wild according to a root cause and a set of attributes.

2 Motivating Example

We continue with an example of a **const** usage that must be accepted by C++ compilers [6] but leads to undefined behaviour when used with the C++11 standard library specification.

Listing 1 contains function writeId(), which modifies field id of its parameter. Function evil() takes a const-qualified parameter, casts away the const qualifier, and calls writeId(). Both these functions must be accepted by C++ compilers.

Listing 1 Method evil() violates the spirit of const by causing a write to an externally-visible field of const object "a". Circled numbers used for subsequent explanations.

```
class A { public: int id; };
size_t std::hash(const A& a) { return std::hash(a.id); }
std::unordered_map<A, std::string> m;
() const A a;
2 m.insert(a, "Value");
evil(a);
m.find(a);
4 void evil(const A& a) { writeId(const_cast<A*>(&a)); }
4 void writeId(A *pa) { pa->id = 5; }
```

Function writeId() does not perform anything unexpected. The write to id is legitimate with respect to const: writeId() has a non-const reference to data and is therefore entitled to write to it. (writeId() conflicts with a different part of the library specification—one oughtn't write to fields used as hashes—but that conflict is beyond the scope of this work.)

Function evil() accepts a const parameter "a"; the const qualifier intuitively suggests that the state of "a" should not change across a call to evil(). (Section 3 explains the C++ semantics of const in more detail; Section 4 explains the writes that ConstSanitizer monitors.) evil() then casts away the const qualifier and calls writeId(), which writes to the id field, thus changing the state of "a".

Listing 1 also contains client code. This client code provides a hash() function for when objects of type "A" are used with the standard library. It continues with a declaration of an std::unordered_map m, which gets a const-qualified object "a" added to it. (In C++, objects like "a" and m are constructed upon declaration.) Between the insert() call and the find() call, the program calls evil(), which changes the id field, thus causing the hash() of "a" to change. As a result, the find() call may unexpectedly return m.end(), indicating that it did not find "a" in the expected bucket; that result should be a surprise to the client.

In our example, the client code is doing nothing wrong, yet it may get an unexpected result from the map. The client should be entitled to believe that its **const**-qualified "a" object does not change between the two map calls and that the object is still in the map.



Figure 1 Our shadow values encode the **const**-ness of each level of an *n* level pointer.

XX:4 C++ const and Immutability: An Empirical Study of Writes-Through-const

Analysis of example. We informally describe how ConstSanitizer works on our example. Our LLVM-based tool actually operates at the llvm bitcode level (assisted by metadata from clang), but for clarity we describe shadow values and the effects of statements on them using C++ code. Section 4 describes our analysis as-implemented on top of LLVM.

ConstSanitizer associates a shadow value with each variable. This shadow value describes whether or not the variable, and each of its dereferences, may be written to; Figure 1 shows how shadow values encode **const**-ness. We present the semantics of our shadow encoding more thoroughly in Table 1. ConstSanitizer propagates shadow values through the program's execution. At each write, ConstSanitizer verifies that the shadow value permits a write, and indicates a write-through-**const**-qualifier if not.

We next show how ConstSanitizer works; circled numbers refer back to Listing 1.

- (1) Program allocates **const**-qualified new object "a". Using debug information, ConstSanitizer finds the **const** qualifier in "a"'s type, and associates shadow value (1)₂ with "a", indicating that "a" is **const**.
- (2) ConstSanitizer then propagates shadow value (1)₂ to the function call evil(). Inside function evil(), variable "a" is a reference, so we shift the shadow value to the left and obtain (10)₂ inside the function call boundary.
- (3) Program casts from type const A* to type A*. Because "a" is a reference inside evil(), the address-of operation has no effect on the shadow value. (On a non-reference, taking the address would also result in a logical shift left of the shadow value.) The cast of the const qualifier is invisible to LLVM, so ConstSanitizer propagates shadow value (10)₂ across the cast. (Had "a" originally not been const, a pointer to it would have shadow value (00)₂ and it would have shadow value (0)₂.)

Finally, ConstSanitizer passes shadow value $(10)_2$ for parameter "pa" of writeId().

(4) Inside function writeId(), the instrumented write to field "id" observes that the shadow value of the address of the containing object is (10)₂. Because the left-hand side uses the -> operator, ConstSanitizer shifts the shadow value back right once, giving shadow value (1)₂ for the containing object. Because the containing object is const, we also apply a shadow value of (1)₂ to all writes to fields of that object.

When executing the write, ConstSanitizer checks the right-most bit of the shadow value for the destination. Since this value is $(1)_2$, the program is writing a value through a **const** reference. ConstSanitizer therefore signals a write-through-**const**.

Section 5 contains our classification of writes-through-const. We classify this write as root cause "C", a write after casting away a const, and assign attribute "I", for incorrect.

3 Meaning of const in C++

As discussed earlier, the C++ **const** keyword allows programmers to declare, in some sense, that a value should not change. In this section, we explain the specific guarantees that C++ provides, and we present the deep immutability variant of these guarantees that we verify.

Meaning of const on C++ primitive and pointer types. The meaning of const in C++ is an extension of its meaning in C. We start by describing the common meaning of const across C and C++, applying to primitive and pointer types. In these languages, the const-ness of a memory location depends on the qualifiers of the variable through which the location is accessed; our motivating example illustrated a change in const-ness through a cast, in function evil(), that is allowed by both C and C++.

For pointer types, such as int *, developers may const-qualify both the pointee and pointer type. The const qualifier applies to the type directly to the left of it; if there is nothing to the left, then const applies to the right. If a variable has type int *const, developers may not change the address value of the variable (where it points to), but they may dereference the location and change the value it points to. A different type is int const * (also known as const int *), which allows the address value to change, but not the value pointed-to by the variable. This type represents a read-only reference. The const qualifier may also apply to both the pointer and pointee types (int const * const), which prevents writes to both the address value and the value pointed-to. If all pointers to a value are read-only references, then the value pointed-to is immutable. C++ references can be thought of as const-qualified pointers; a developer may not write to the reference address value. However, in contrast with pointers, developers cannot cast away reference address value const-ness and re-assign the address value; this property is enforced by the language.

Listing 2 The const qualifier may apply to C++ member functions.

```
class Pointish {
private:
    int x;
    int * y;
public:
    int getX() const { return x; }
    void setX(int val) { x = val; -}
    void setY(int val) { *y = val; -}
    transitive write
};
```

Meaning of const on C++ object types. We continue by exploring the meaning of const in C++-specific contexts. When a C++ object type is **const**-qualified, the developer may only call member functions declared with a **const** qualifier.

const-qualifying a member function has two effects. First, **const**-qualifying a member function allows it to be called on a **const**-qualified receiver object. Furthermore, inside the function, the type qualifiers of the receiver object's fields are treated as **const**.

Conceptually, each C++ class provides two interfaces: the **const**-qualified interface and the non-**const** qualified interface. A **const**-qualified reference is meant to be a read-only reference, although C++ enforces no guarantees. One of our goals is to evaluate whether readonly guarantees hold in practice. When an object has non-**const** type, then the developer may call all methods on that object². On the other hand, when an object has **const** type, then the developer may only call methods on that object that are **const**-qualified.

Consider class Pointish, defined in Listing 2. As written, the developer could call all methods on a non-const-qualified object of type Pointish. On the other hand, the developer may only call the getX() method on a const-qualified Pointish object.

The second effect of const-qualifying a function changes the type qualifiers of fields inside the function. In our example, field x becomes int const within const-qualified member

² There is a small exception: on a non-const object, the developer cannot call const-qualified methods that are hidden due to overloading by a non-const-qualified method of the same signature.

XX:6 C++ const and Immutability: An Empirical Study of Writes-Through-const

functions. The compiler successfully compiles getX(), since there are no writes to x or y. But, if setX() was const-qualified, the compiler would refuse to compile the code, since the type of x would be treated as const int and setX() contains a write to that variable.

In C++, without using **const** escape hatches, developers may re-assign fields in non-**const** qualified methods and may not re-assign fields in **const**-qualified methods. In all methods, developers are permitted to mutate state outside of re-assignment (through references or pointers). This type of immutability is referred to as *shallow immutability*.

A C++ **const**-qualified stack/global object would be considered a shallow *immutable object*. That is, without escape hatches, developers cannot create non-**const** references (including through pointers) to such a **const**-qualified object. However, as we discuss next, developers may indeed remove the **const** qualifier on references to the **const**-qualified object. Therefore, C++ does not strongly enforce the concept of an immutable object.

Working around const restrictions. Practical type systems appear to require escape hatches. C++'s escape hatches for const include casting (the sole escape hatch in C) and mutable. Also, C++ const does not specify deep immutability. ConstSanitizer dynamically observes executions to monitor uses of escape hatches and deep immutability.

Most type systems permit casting between types. C-style casts ((const A)a) and C++ const_casts can add or remove const qualifiers. const manipulation may also occur through unions and reinterpret_casts. ConstSanitizer ignores casts, instead using the declared type of a variable or function argument. When there is a mismatch between variable and function argument types, we persist all const information.

For **const** member functions, "**mutable**" instructs the compiler to not add the implicit **const** type qualifier otherwise imposed on fields inside those functions. In Listing 2, if x were instead declared as **mutable int** x, then **setX()** could be **const**-qualified and still compile. ConstSanitizer would then report the write to field x whenever **setX()** was called on a **const** receiver object, as we consider the write to be a breach of that object's immutability.

ConstSanitizer goes beyond C++'s guarantees with respect to transitivity. Consider again Listing 2. Field y has type int *; within a **const**-qualified member function, its type is int *const. C++ therefore prevents writes to y inside a **const**-qualified member function. However, it does not prevent writes to *y without further explicit markup (i.e. int const *const). We call writes to locations like *y *transitive writes*.

C++ only guarantees shallow immutability—that is, field values directly stored in a **const**-qualified class do not change. If a field has pointer type, C++ ensures that the pointer value does not change, but does not guarantee anything about the value pointed to. ConstSanitizer verifies *deep immutability* through transitive writes, and enables us to answer the empirical question of whether extant programs preserve deep immutability or not.

4 Technique

Our ConstSanitizer tool generates instrumented code which, when executed, prints out notifications about writes-through-**const**-qualifiers³. ConstSanitizer builds upon LLVM [16] and was inspired by existing sanitizers including AddressSanitizer [13] and MemorySanitizer [14].

³ We previously implemented a static analysis which was an unpublishable dead end. Most of its reported violations required calling context to make sense of; context-sensitive interprocedural analysis would thus be required to get meaningful results. Static counts of mutables and const casts were too overwhelming. Furthermore, imprecision due to pointers made its results unusable.



Figure 2 ConstSanitizer generates instrumented LLVM bitcode which reports writes through const qualifiers at runtime.

We implemented ConstSanitizer by extending the clang frontend and adding instrumentation passes on llvm bitcode. The instrumented code calls hooks in our modified version of llvm's compiler-rt runtime library. Figure 2 depicts our processes for compiling instrumented code. Plain text indicates inputs and outputs; outlined boxes indicate existing software components; and light gray boxes indicate our modifications.

We first describe our modifications to the clang frontend. When the developer enables ConstSanitizer (using a command-line flag), our frontend adds metadata about initialization expression extents to the bitcode. This metadata notifies the llvm-level instrumentation about source-level constructs that would otherwise be lost in translation to bitcode.

Specifically, we modified clang's bitcode generator at variable declaration statements. At statements of the form type var = expr we mark the instructions making up expr so that our llvm-level instrumentation can ignore them. The rationale for ignoring those writes is that the primary user-visible write from a clang declaration statement is to var, on the left-hand side. We empirically observed that other writes within expr are almost always initialization writes to var, which ought not to be reported even if var is const. Although a programmer may include explicit (side-effecting) writes within expr, we ignore such writes to eliminate the false positives that otherwise occur due to initialization writes.

We use **const**-ness information as provided by declarations, rather than implementing a taint-based approach. Listing 3 shows a false negative caused by our approach. The debugging information for y gives shadow value $(00)_2$. Hence, on the write through y, we do not report a write-through-**const**, because we do not propagate **const**-ness information from variable initializers. One might expect this write to trigger a report since y aliases the read-only reference x. (We report a write if the cast is part of a function argument.)

Most of our instrumentation lives in a custom llvm pass that generates code to track const-ness of the program's values. The instrumentation manipulates shadow values to track const qualifiers at every instruction that generates a pointer value. The const information

XX:8 C++ const and Immutability: An Empirical Study of Writes-Through-const

Listing 3 C++ source code showing a false negative due to expression handling.

const int	<pre>* x = new int(0);</pre>	
int * y =	<pre>const_cast<int *="">(x);</int></pre>	
*y = 1;		— not reported

relies on type tables from DWARF 4 debugging information. In 11vm, this includes all variables in functions—all local variables are allocated on the stack and are pointers.

Our dynamic analysis returns (as one might expect) no false positives, since it observes program executions. However, it depends on the accuracy of the debugging information and metadata, which it uses to identify which variables are **const**-qualified in the source and to identify initialization expression extents. We ran into one false positive in our results which we believe is the result of the metadata being invalidated between LLVM passes. Throughout the remainder of the section, we point out a couple of cases where our analysis must approximate intended **const**-ness because actual **const**-ness information does not exist.

Structure of shadow values. A shadow value consists of n bits tracking **const**-ness (where n is the word length of the processor architecture). Each bit represents whether a pointer or pointee has a **const** qualifier or not. The rightmost bit represents the **const** qualifier of the value itself. Bits to the left (if the value is a pointer) represent what the pointer transitively points to. Our encoding supports pointers up to n - 1 levels deep on n-bit processors (64 for our experiments). Figure 1 depicted our encoding of shadow values, while Table 1 shows how shadow values represent sample **const**-ness settings and corresponding writes allowed.

Shadow value computation. We next describe how we create and propagate shadow values. Our ConstSanitizer instrumentation dynamically propagates shadow values representing **const** qualifiers through a program's instructions. Our goal is to monitor 1) writes to **mutable** fields; 2) locations where **const** has been cast away; and 3) transitive writes, to pointees of fields, through **const** references. Table 2 summarizes the analysis rules.

llvm bitcode uses alloca instructions to introduce new pointer values. Our llvm pass instruments each alloca instruction with the appropriate shadow value, as extracted from the type information in the source code, using standard clang debug information.

Ultimately, our instrumentation verifies the behaviour of store instructions. Recall that we exclude store instructions that come from the right-hand side of a declaration

Declaration	Shadow value	Example statement	Allowed
int x	$(0)_2$	x = 5	\checkmark
const int x	$(1)_2$	x = 5	×
int * x	$(00)_2$	x = y	\checkmark
		*x = 55	\checkmark
int *const x	$(01)_2$	x = y	×
		*x = 55	\checkmark
const int * x	$(10)_2$	x = y	\checkmark
(or int const * x)		*x = 55	×
const int *const	$(11)_2$	x = y	×
(or int const *const)		*x = 55	×

Table 1 Shadow values encode available **const**-ness restrictions on variables.

statement. For all other **stores**, we check whether the operand—the location being writtento—represents a **const**-qualified type. The rightmost bit of the shadow value provides this information. If that bit is 1, an execution of this **store** instruction is a write to a **const**-qualified location. We insert a call to our runtime library to check the value of the bit and to report a write-through-**const** if the bit is 1. (We later discuss a special case for store instructions where the value being stored is a function argument.)

Conversely, load instructions return a pointer that represents a single pointer dereference. To compute the returned shadow value, we right shift the operand's shadow value.

llvm's getelementptr (GEP) instruction accesses arrays and fields of objects. This instruction preserves type safety through dereferences in the compilation process and is a safe alternative to directly generating pointer arithmetic code. Our instrumentation performs a logical shift right by one bit for every pointer dereference implied in the GEP instruction. Our treatment of GEP implicitly handles transitive immutability as follows: when a GEP

Instruction	New shadow value		
%1 = alloca	from const qualifiers in debugging information, consistent with Figure 1.		
%1 = getelementptr %2	by logically shifting left %2's shadow value once for each dereference this instruction represents. if field access: check const qualifier of base object; for (immutable) const base objects, new shadow value is all ones, otherwise all zeros.		
%1 = call(%2)	loaded from return shadow value in Thread-Local Storage (TLS). for pointer arguments %2: also write shadow values to appropriate TLS slots for the function call; if the call and argument are marked as ignored, write all zeros for the shadow value for the argument.		
%1 = phi/select	carry out same operation on shadow value operands.		
%1 = bitcast %2	from the shadow value for %2 , if compatible; otherwise all zeros.		
%1 = load %2	logical shift right of %2 's shadow value.		
store %2, %1	 check rightmost bit of shadow value for %1, report write-through-const if set. (Only applies if the instruction not ignored as an initializer.) if %2 is function argument: load shadow value for %2 from TLS, left shifted once. New shadow value is bitwise OR of shifted value with previously computed shadow value for %1. if %2 is "this" function argument: same steps as above, except skip the bitwise OR step. if %2 is "this" function argument for destructor: shadow value for %1 is (00)₂. 		
<pre>%1 = extractelement %1 = extractvalue %1 = inttoptr %1 = landingpad</pre>	all zeros.		

Table 2 Dynamic analysis rules showing computation of shadow value for result %1.

XX:10 C++ const and Immutability: An Empirical Study of Writes-Through-const

accesses an object field, and the containing object is **const**-qualified, we generate a shadow value as if the field had a **const** qualifer on every type for the contained field. This treatment implies checks for transitive immutability; generating a non-**const** shadow value here would generate the same bitwise immutability checks for **const** as specified by the C++ standard.

Our instrumentation propagates **const**-ness information (in shadow values) alongside references to that location. In C++, access restrictions to a location depend on whether the program is accessing that location through a **const** reference or not. Therefore, in the presence of casts and pointer arithmetic, there is no ground truth about the **const**-ness of the resulting references and we must make a reasonable under-approximation as to **const**-ness.

We next discuss casting-related 11vm instructions. The bitcast instruction converts a value into a specified type. If a program converts a pointer between equally-indirected pointer types, then we copy the old shadow value to the result. (C++ const-casts do not appear at LLVM bitcode level, nor do the component of a C-style cast that manipulates const-ness. ConstSanitizer preserves declared const-ness for such variables.) Otherwise, we choose to assume that the instruction's result has no const qualifiers. We make this assumption in all cases for the inttoptr instruction, which represents pointer arithmetic not handled by the GEP instruction, as well as for extractvalue and extractelement.

Our instrumentation stores shadow values for function calls' arguments and return values using thread local storage (TLS). In the straightforward case, we store shadow values for pointer arguments in TLS slots reserved for each argument. However, we ignore pointer arguments' **const** qualifiers if the call and the argument are both part of a variable declaration. As for (pointer) return values: if a function was instrumented by our tool, then we read the shadow value from the appropriate TLS slot. We also store a mutable shadow value in the return value TLS slot, in case the function had not been instrumented by our tool. Our instrumentation either reads the approximation or, if applicable, the actual return value generated by the called function. In the presence of callbacks from uninstrumented code back to instrumented code, our instrumentation may use stale shadow values and report extraneous results based on these stale shadow values.

We have a special case for store instructions where the value stored is a function argument, as mentioned above. Consider a store instruction "store value, location". We compute the shadow value for "location" as follows. First, we get the shadow value for "value" from the TLS. Then, we adjust this shadow value to be compatible with the type of "location": our encoding requires one logical shift to match the type of "value" to that of "location". We bitwise OR the shadow value for "location" previously computed (from an alloca instruction) with the shifted value to get the new shadow value for "location". This preserves const qualifiers of the original argument and of the local variables in the function.

There are two further special sub-cases for store instructions and function arguments for (i) method and (ii) destructor calls. Listing 4 illustrates sub-case (i). Here, foo() is declared const. The compiler will hence treat this as const within foo(). However, for our dynamic analysis, we want to detect writes based on the const-ness of this from the caller; in method bar() in Listing 4, receiver object nc for foo is not const, so we do not want to report the call's (transitive) store to x. foo's method arguments appear as "value" operands of store instructions while the "location" is an alloca within the function. We set the shadow value of the associated alloca instruction to the value of the argument after applying a logical shift left by one (since it's a pointer). This treatment properly ignores const qualifiers added due to callee method signatures.

For sub-case (ii), destructors, we do not want to report any writes through this as the object no longer exists after the call (so that writes to the object aren't visible in any case).

We handle this case by simply assuming that the **this** argument is mutable. For all other arguments, we do a bitwise OR between the **alloca** shadow value and the argument shadow value logically shifted left by one, which maintains all **const** qualifiers.

Listing 4 C++ source code showing calls to method foo() (with its definition and associated LLVM bitcode) from const context cc and non-const context nc.



Shadow value computation example. Listing 4 presents the C++ source code for C::foo, a const qualified method, and the associated LLVM bitcode. Consider the bar function, which calls foo twice, first with mutable (i.e. non-const) receiver object nc and then with const receiver object cc. Within bar, the shadow value of nc is $(0)_2$ and the shadow value of cc is $(1)_2$. Our instrumentation assigns shadow values for each LLVM instruction with a pointer result. We instrument C::foo as follows:

- The first instruction, alloca, stores its result in %1. Since it is an alloca instruction, we obtain its shadow value from clang debugging information. The associated shadow value is (10)₂: in this const-qualified method, the type of this is that of a const pointer to the containing class, const C *.
- At the store instruction, without special handling, we would load the shadow value of argument %this from the TLS; logically shift left the shadow value by one to account for the fact that we are performing a store to memory allocated for that argument; and bitwise OR the resulting shadow value with the original shadow value for %1. In our example, whether the receiver object is cc or nc, the shadow value for %1 is (10)₂.
- Next, we obtain the shadow value for the result of the load, %2. As %2 returns a pointer, we shift %1's (the operand's) shadow value right by one, giving a shadow value of (1)₂.
- Next, the getelementptr instruction results in a pointer to the class's x field. Our instrumentation of getelementptr could produce two different shadow values, depending on the instruction's operand. In this case, %2 is a const object, and the resulting shadow value for a fully const-qualified x field is (11)₂.
- Next, we obtain the shadow value for the load result %4 using the same technique as for %2. The resulting shadow value is (1)₂.
- Finally, we insert a check at the **store** instruction. In this case, the least significant bit of the shadow value associated with location (%4) is 1. Therefore we would dynamically report a write-through-const at the write to field x of the const method.

For methods, this instrumentation is not enough. We only want to report a write-throughconst for the call with const receiver object even though both objects call the same static method. Before the call to foo, our instrumentation stores the shadow value of the receiver

XX:12 C++ const and Immutability: An Empirical Study of Writes-Through-const

object in its TLS slot. Our instrumentation of foo looks for store instructions that use the receiver object and recomputes the shadow value of the location. Here, we load the shadow value from its TLS slot and shift left to match the type of the expected shadow value of %1. For nc's call, this shadow value is $(00)_2$. Since foo is a method, we ignore the original shadow value of %1 ($(10)_2$) and overwrite it with new shadow value ($00)_2$. Following the remaining steps in foo as above, the shadow value of %4 is now ($0)_2$ and we do not report a write-through-const. In the cc case, we would follow the same steps, but instead report a write-through-const, because the shadow value would be ($10)_2$.

5 Classification of writes-through-const

One of our contributions is a careful analysis of the **const** usages detected by our ConstSanitizer dynamic analysis tool. We propose a classification for writes-through-**const**-qualifiers along 2 axes. We manually assigned each write 1) a single cause, from a set of common root causes; and 2) a set of additional attributes. This classification distills our empirical observations about **const** use in practice.

Table 3 Root causes of writes through **const** and our symbols for these causes.

Root Cause	\mathbf{Symbol}
Write to mutable field	М
Transitive write	Т
Write after casting a const qualifier away	\mathbf{C}

Table 3 lists all of the root causes for writes-through-**const**, along with a one-letter abbreviation that we will use in Section 6's tables. ConstSanitizer detects such writes and reports them to the user. The causes are:

— mutable field (M): the program writes to a **mutable**-labelled field of a **const** object.

```
class Mutable {
  mutable int x;
public:
  void mutator() const { x = 42; }
};
```

mutable permits method mutator() to write to field x even though it is a const method, which would ordinarily prevent (at compile-time) writes to fields of the this object.
transitive write (T): the program writes through a field of a const object.

```
class TW {
   int *x;
public:
   void transitiveWrite() const { *x = 42; }
};
```

const-qualified method transitiveWrite() writes to field x of the this object. While the const qualifier prevents mutation of the x field, it does not prevent transitive writes of the memory pointed to by x.

casting away const (C): the program writes through a pointer which has previously been const but whose const-ness has been cast away using a const_cast or C-style cast.

```
void writeToArg(int *y) { *y = 17; }
const int *x = ...;
writeToArg(const_cast<int *>(x));
```

The write in writeToArg() mutates the value pointed-to by x while x is const-qualified. ConstSanitizer reports writes-through-const-qualifiers whose const-ness has been cast away, using the const-ness of the most recent declared type for the value.

Table 4 Observed common attributes of writes through **const** and corresponding symbols.

Attribute	\mathbf{Symbol}
Write is synchronized	\mathbf{S}
Write is not visible	Ν
Write is to a buffer/cache	В
Write is delayed initialization	D
Write is incorrect	Ι

Table 4 summarizes our attributes for writes-through-**const**-qualifiers. We assigned attributes to writes based on our understanding of the code. Writes may have multiple attributes; for instance, a write in our Protobul benchmark is B & N & S. The attributes are:

synchronized (S): indicates that the write is always protected by a lock. This attribute is often required under the C++11 standard: all types that are shared between threads and that may be used with the standard library must be either bitwise const, which is clearly not the case when we witness a write, or else protected against concurrent accesses [15]. The following example, from Protobuf, is synchronized using Google mutex primitives.

```
GOOGLE_SAFE_CONCURRENT_WRITES_BEGIN();
_cached_size_ = total_size;
GOOGLE_SAFE_CONCURRENT_WRITES_END();
```

not visible (N): indicates that the result of the write is never externally visible (e.g. private and with no accessor methods; may be accessed in the same translation unit). Often occurs in the context of testing-related counters.

```
mutable int countFooCalls;
void foo() const { ++countFooCalls; }
```

to a buffer/cache (B): indicates that the write is of a derived value which can be computed from other currently-available state. Such writes are often optimizations.

_cached_size_ = total_size;

delayed initialization (D): indicates that the write initializes state not initialized in the constructor or its transitive callees. Writes with this attribute could have also occurred in the constructor, but the written value was not yet available. Failure to call a delayed initialization method would lead to undesired behaviours (or lack of desired behaviours).

```
bool Generator::Generate(const FileDescriptor* file, ...) const {
   this->file_ = file;
}
```

- incorrect (I): indicates that the write appeared to violate the **const**-ness of the object.

XX:14 C++ const and Immutability: An Empirical Study of Writes-Through-const

Note that S/N/B/D writes are not necessarily errors and do not necessarily violate immutability properties. We thus chose the word "attribute" to suggest that S/N/B/D indicate an incidental property of a write-through-**const**. If the code containing the writes is properly written, an object with an S/N/B/D write-through-**const** can still appear to be immutable to the client, assuming all references to that object are read-only. A write with attribute I, however, is a client-visible violation of **const**.

6 Results

We evaluated our ConstSanitizer tool on 7 C++ software projects, plus 1 C project. We attempted to choose significant benchmarks using these guidelines:

- 1. must span a range of application areas: applications and libraries; small, medium, and large projects; interactive and non-interactive;
- 2. are used by the community: the Google projects are the most popular on GitHub; the applications are popular among FOSS users; contributor-group sizes vary from a core group to a large community; and,
- **3.** must extensively use **const** constructs.

A ConstSanitizer report indicates that a write that would not be allowed under deep immutability occurred through a read-only reference. Such writes are allowed under C++ semantics. They are only a departure from the **const** semantics that we experiment with (i.e. deep immutability with no casts and no mutable). Our experiments classify writes-through-**const** observed in actual **const**-using programs. Classifying these writes provides us valuable insight about **const** usage in practice, which will guide future work.

Our approach was to modify the project's build system to use our tool and to disable optimizations. We then ran the project's test suite, when available, and collected output from our instrumentation. Using this output we categorized the writes that we found, assigning root causes and attributes. Along with the number of static locations of writes that we found (**bolded**), we also report the number of dynamic occurrences of each write over observed executions. All else being equal, dynamic counts can help prioritize writes-through-**const**, with more-frequent locations to be investigated first. We refer to these dynamic occurrences of writes as "occurrences" in the sequel. Table 5 summarizes our benchmark projects.

Table 5 We ran our experiments across 7 C++ (and 1 C) software projects; ConstSanitizer introduces a build slowdown of $1.05 \times -1.40 \times$ across all projects.

Name		Version Description		Build Slowdown
C++	Protobuf	2.6.1	Serialization framework	$1.40 \times$
C++	LevelDB	1.18	Key/value database	$1.05 \times$
C++	fish shell	2.2.0	UNIX shell	$1.32 \times$
C++	Mosh (mobile shell)	1.2.5	SSH replacement	$1.26 \times$
C++	LLVM TableGen	3.7.0	Domain-specific generator	
C++	Tesseract	3.04.00	OCR engine	$1.10 \times$
C++	Ninja	1.6.0	Build system	$1.20 \times$
\mathbf{C}	Weston	1.9.0	Wayland compositor	$1.28 \times$

We recorded relative overhead introduced by our instrumentation with respect to both building and testing times on the longest-running projects, Protobuf and LevelDB. Table 5

includes build slowdowns induced by our tool, which ranged between $1.05 \times$ and $1.40 \times$. Our tool caused a $3.3 \times$ slowdown and $1.3 \times$ slowdown in test execution times for Protobuf and LevelDB respectively. The remaining projects were either interactive, or did not have long enough running test suites to get meaningful results. We do not any report LLVM TableGen numbers because we built it (with instrumentation) as part of the LLVM build process and were not able to build the executable separately.

6.1 Protobuf

Protobuf is Google's serializing framework for structured data, consisting of about 214 000 lines of C++ code. We analyzed version 2.6.1 of Protobuf by running its test suite, which contains 5 tests. Table 6 summarizes the Protobuf results. ConstSanitizer found **76** static write locations (and 127 644 occurrences). We describe 5 archetypes for these writes. An archetype is a group of writes that we judged to be similar; the writes may happen at different source locations.

Table 6 Protobuf shows 5 archetypes for **76** writes through **const** resulting in 127 644 occurrences.

Archetype	Locations	Occurrences	Root Cause	Attributes
Generator printer	7	118464	Т	B & N & S
Message cache sizes	61	7158	Μ	$\mathbf{B}\ \&\ \mathbf{S}$
Source code locations	4	1898	Т	Ι
Linked list operations	2	84	Μ	I & S
Generate initialization				
method	2	40	Μ	D & N & S

The "Generator printer" archetype occurred most often. Listing 5 presents a representative expanded stack trace. The function at the top of the listing shows the initiation of the write in Generator's const-qualified Generate method. This method calls PrintTopBoilerplate, passing a pointer to a mutable io::Printer. Then, Printer's WriteRaw method modifies (root cause T) two fields: buffer_ and buffer_size_. These fields are protected by a lock, act as a buffer, and are not visible outside the class (which is just a printer). This archetype also includes other Print-like calls with different source locations but a common explanation.

The "Generate initialization method" archetype is related to "Generator printer". Listing 6 shows this archetype. The printer_ field was initialized as seen above. C++ allows this write due to the mutable specifier. Another field, file_, is lazily initialized as well. Both of these fields are protected by the same lock, and are not externally visible outside the class.

We show an example of the "linked list operations" archetype in Listing 7. Here, the depart method grabs a lock, and uses a pointer with type linked_ptr_internal const *, so that the const applies to what is pointed to, not to the pointer. The method then modifies the next_ field of a valid object at the point indicated by the comment. The root cause here is mutable: the next_ field is declared mutable linked_ptr_internal const* next_. This write is a delayed initialization, not visible, and synchronized.

Listing 8 shows the "Message cache sizes" archetype. The write is protected by a lock, and is allowed by C++ because the field is mutable. However, this write, while involved with caching, is externally visible. The method void SetCachedSize(int size) const enables external code to modify this field through a const reference to the containing object.

Listing 9 shows the "Source code locations" archetype. The mutable_leading_comments method, which includes "mutable" in its name, is not declared as const, and thus allows

XX:16 C++ const and Immutability: An Empirical Study of Writes-Through-const

Listing 5 Protobuf's Generator class performing transitive write-through-const to a Printer field.



Listing 6 Protobuf's Generate initialization method performing lazy initialization.

```
bool Generator::Generate(...) const {
    this->file_ = file;
    this->printer_ = &printer;
}
```

Listing 7 Protobuf using Google test linked list that writes internally.

```
bool linked_ptr_internal::depart() gtest-linked_ptr.h
   GTEST_LOCK_EXCLUDED_(g_linked_ptr_mutex) {
   MutexLock lock(&g_linked_ptr_mutex);
   if (this->next_ == this) return true;
   linked_ptr_internal const* p = this->next_;
   while (p->next_ != this) p = p->next_;
   p->next_ = this->next_;
   return false;
}
```

Listing 8 Protobuf writing to a message's cached size field.

```
int FieldDescriptorProto::ByteSize() const {
   GOOGLE_SAFE_CONCURRENT_WRITES_BEGIN();
   this->_cached_size_ = total_size;
   GOOGLE_SAFE_CONCURRENT_WRITES_END();
}
```

writes. Its implementation writes to the location_ field; we show an example of a caller which causes such a write. The location_ field is externally-visible, so this is a clearly incorrect externally-visible transitive write; we assign attribute I.

We also found an archetype involving writing data to a message. This included 133 unique source locations, occurring 14 638 times in total. However, the code is heavily inlined and the build system appears to overwrite optimization settings for this subdirectory. Manual inspection of the code revealed no obvious writes. We believe this is a result of optimizations causing invalid debugging information. We thus omitted this archetype from Table 6.

Listing 9 Protobuf writing to a source location object.

6.2 LevelDB

LevelDB (1.18) is Google's lightweight key/value database library, consisting of approximately 18 000 lines of C++ code. The test suite contains 23 test drivers. There were 6 archetypes and also 6 root source locations for these writes. These locations contributed to 13 792 occurrences over the test drivers. Table 7 shows a summary of our findings for LevelDB.

Table 7 LevelDB shows writes from **6** source locations, with 13 792 occurrences in total.

Location	Occurrences	Root Cause	Attributes
db/db_test.cc:40	10311	Т	N & S
util/cache.cc:315	2841	Т	$\mathbf{B} \& \mathbf{S}$
db/snapshot.h:54	319	Т	Ι
db/snapshot.h:55	319	Т	Ι
helpers/memenv/memenv.cc:274	1	Т	I & S
util/testutil.h:42	1	Т	Ν

Listing 10 shows the source location that caused the majority of the occurrences. This code extends the RandomAccessFile class to add an atomic counter field, counter_, that tracks the number of read calls. The root cause is that counter_ is a pointer and is transitively written to. The reason for this write is test controllability: this class is part of the test infrastructure. Yet it must override the monitored call (and thus must be const). This class is meant for testing purposes only, so we concluded the write was not visible outside the class—the counter is only used in the testing code.

Listing 10 LevelDB write in db_test.cc:40 incrementing counter tracking # of writes to a file.

```
class CountingFile : public RandomAccessFile {
    this->counter_->Increment();
    }
};
```

Listing 11 shows a modification to a caching structure that generates a new identifier. This cache is a field, block_cache, in options, which is declared as const Options& in Table::Open. The root cause is a transitive write, since the code dereferences a field of a const object to do the write. This write is protected by a lock and clearly involved in caching. However, it appears that other code outside of Options uses this block cache.

Listing 12 shows a modification of a linked list node accessed through two pointer dereferences. This corresponds to both snapshot.h locations shown in the table. This code

XX:18 C++ const and Immutability: An Empirical Study of Writes-Through-const

```
Listing 11 LevelDB write in cache.cc:315 creating a new block cache in const Options object.

Status Table::Open(const Options& options, ...) {
    rep->cache_id = (options.block_cache ?
    options.block_cache->NewId() : 0);
}
virtual ShardedLRUCache::uint64_t NewId() {
    MutexLock 1(&id_mutex_);
    return ++(last_id_);
}
```

modifies the pointers obtained from following its own nodes, performing a transitive write through a **const** qualifier. We do not know why the developers declared **s** as **const** since it is also destroyed at the end of the method. In any case, we assigned attribute I.

Listing 12 LevelDB write in snapshot.h deleting a list element and updates pointers.

```
void Delete(const SnapshotImpl* s) {
  assert(s->list_ == this);
  s->prev_->next_ = s->next_;
  s->next_->prev_ = s->prev_;
  delete s;
}
```

Listing 13 shows a write-through-const in the InMemoryEnv class. As with the cache, the root cause is a transitive write: in the caller, options is declared const Options&. Unlike the caching example, this file isn't involved in caching and appears to be a visible change to options. This write is protected by a lock, giving attribute I & S.

Listing 13 LevelDB write in memenv.cc changing the environment in options object.

```
Status DB::Open(const Options& options, ...) {
    s = options.env->NewWritableFile(...);
}
... InMemoryEnv::NewWritableFile(...) {
    MutexLock lock(&mutex_);
    this->file_map_[fname] = file;
}
```

Listing 14 shows the final write-through-const-qualifier that we found for LevelDB. The caller location is the same as in Listing 13 above. In this case, however, the containing class extends InMemoryEnv and adds a field to count the number of errors (for testing purposes only). Therefore we attribute this write as being not visible—it is only used in tests.

Listing 14 LevelDB write in testutil.h injecting faults into the test suite.

```
... EnvError::NewWritableFile(...) {
    testutil.h
    ++this->num_writable_file_errors_;
}
```

6.3 fish shell

fish shell (2.2.0) is a UNIX shell providing advanced features, consisting of approximately 48 000 lines of C++ code. We compiled the project with our tool and executed an instance of the shell. Our workload launched the shell and immediately exited. We found writes from 4 unique source locations for 98 occurrences in total. All locations are within the exchange function. Listing 15 shows this function along with a snippet of _wgetopt_internal that calls exchange. The root cause is that the const-qualified argv variable gets cast to non-const and then passed to exchange. This write shows that the const-qualifier on argv is incorrect and should not be included.

Listing 15 fish shell writing to **const**-qualified **argv** object.

```
...__wgetopt_internal(..., wchar_t *const *argv, ...) {
    exchange((wchar_t **) argv);
}
... exchange(wchar_t **argv) {
    argv[bottom + i] = argv[top - (middle - bottom) + i];
    argv[top - (middle - bottom) + i] = tem;
    argv[bottom + i] = argv[middle + i];
    argv[middle + i] = tem;
}
```

6.4 Mosh (mobile shell)

Mosh (mobile shell) (1.2.5) is a remote terminal application that is a replacement for secure shell (SSH), consisting of about 13 000 lines of C++ code. Our workload was to launch the mosh server and immediately terminate it. We found writes-through-const at 8 unique source locations (432 occurrences). Listing 16 shows one of the writes. Mosh parsing code sets a flag to indicate completion. However, the developers declared the parser action as const in the same method where they modify it. The root cause is that the variable handled is declared public mutable. We believe this is an incorrectly const qualified variable.

Listing 16 Mosh handling terminal action with a write-through-const.

```
void Emulator::print(const Parser::Print *act) {
    act->handled = true;
}
```

6.5 LLVM TableGen

We instrumented LLVM's (3.7) TableGen executable, which uses domain-specific information to generate files with custom backends. This part of LLVM consists of approximately 34 400 lines of C++ code. It is primarily used in building LLVM itself. We added our instrumentation to the build system and observed reports from an instrumented version of TableGen executing as part of the build process. LLVM itself is a large body of code with too many writes-through-const-qualifier objects to manually classify. In TableGen, we found writes from **3** unique source locations (282 occurrences).

The handling code for DFAs contains some puzzling writes, shown in Listing 17. The write immediately follows an instantiation of a **const State** object. The **State** class itself

XX:20 C++ const and Immutability: An Empirical Study of Writes-Through-const

is only available in a file's translation unit (not usable outside the file), which may indicate that the **State** is not intended to be widely used. **State** only contains **const** methods and all of its fields (except one explicitly declared **const**) are mutable. Since all methods are **const** there is no difference in callable methods between non-**const** and **const**-qualified access. In addition, since all other fields are mutable, developers are allowed to re-assign the same fields in a **const** method as they would in a non-**const** method. Since only one field doesn't have **mutable**, developers could achieve the same effect by making all methods non-**const**, removing all **mutable** specifiers on fields, and changing the one field that did not have **mutable** to be **const** qualified.

Listing 17 LLVM DFA code marks **State const** for no apparent reason.

```
void DFAPacketizerEmitter::run(raw_ostream &OS) {
   const State *NewState;
   NewState = &D.newState();
   NewState->stateInfo = NewStateResources;
}
```

The other write is in the code that computes a sub-register index for code generation. Listing 18 shows the containing method. The root cause here is that the LaneMask field is mutable. The write caches the value. However, this value is not used in any other methods.

Listing 18 LLVM SubReg writes to a mutable field in a const method.

```
unsigned CodeGenSubRegIndex::computeLaneMask() const {
    if (this->LaneMask)
        return this->LaneMask;
    this->LaneMask = ~0u;
    unsigned M = ...;
    this->LaneMask = M;
    return this->LaneMask;
}
```

6.6 Tesseract

Tesseract (3.04.00) is an optical character recognition (OCR) engine maintained by Google, consisting of 147 000 lines of C++ code. This project does not contain any easy-to-run tests. We compiled it with our tool and ran it with invalid arguments. With our limited knowledge of Tesseract's usage, we were not able to cause the core algorithm to execute. However, we found a strange write, shown in Listing 19. The root cause is that the used_ field is mutable. This write appears to be an incorrect usage of const. Strangely, however, the comments indicate that is a defensive write against possible further writes-through-const-qualifiers.

Listing 19 Tesseract performs a strange write in its string class.



6.7 Ninja

Ninja (1.6.0) is a build system consisting of approximately 14 900 lines of C++ code. It includes a modest test suite. Our tool reports 39 occurrences from calls to the standard library. All of these warnings have a **single** source location outside the standard library: **src/disk_interface_test.cc:226:3**. Listing 20 shows this static source location. This is a quick hack to run the test suite with the same API as normal clients. This field stores statistics that are checked in the test suite only. The field is mutable and not seen outside the test suite, so we give this write the "not visible" attribute.

Listing 20 Ninja write-through-const in test code.

```
TimeStamp StatTest::Stat(const string& path, ...) const { disk_interface_test.cc
    this->stats_.push_back(path);
}
```

6.8 Weston

While we focus on C++ in this work, our technique also works on **const** in C programs. We therefore evaluated it on a C application. Since this is the sole C project, we omit Weston from the overall table of results (Table 8). Weston (1.9.0) is a reference implementation of a Wayland compositor. It consists of approximately 85 000 lines of C code and the test suite has 20 tests. We did not expect to see many writes, as most C standard library functions do not require **const** (corresponding C++ library functions usually do), and also due to annoyances in using **const** in C, which we describe below. However, even with a small test suite, we found **4** unique source locations for writes (accounting for 115 occurrences).

All of the writes-through-const are transitive and came from parsing code. The argument option parser accounts for **3** locations. Listing 21 shows a write in the parser. Function handle_option does not modify the pointer value of option but modifies its transitive data field. This does not change any data stored in the weston_option structure, maintaining bitwise const-ness. The data field's type is void * and the cast does not remove const. Based on the function name, one might expect a write to the data field, not its pointee.

Listing 21 Weston option parser modifying its **const** option argument.

```
handle_option(const struct weston_option *option, ...) {
    option-parser.c
    * (char **) option->data = strdup(value);
}
```

The final location was in the configuration file parsing code. Listing 22 shows the weston_config_section_get_uint function dereferencing and modifying the value argument passed in from a field of a const struct. As above, based on the function naming, one would expect any writes to happen through the dest pointer. This write does not modify any data stored in the config_command structure and maintains bitwise const-ness as well.

We made an observation as to why **const** may be unattractive to C developers: there is no clean way to initialize a structure analogous to C++ constructors/destructors. A popular C idiom is to assign constructor-like functions signatures like rec_init(struct rec *r). This signature prevents initialization without casting: **const** struct rec r; rec_init(&r) is illegal. However, it is cumbersome to always cast for constructor-like calls. One could change the signature of the function to rec_init(const struct rec *r) and perform the

XX:22 C++ const and Immutability: An Empirical Study of Writes-Through-const

Listing 22 Weston config parser writing to its value argument.

```
struct config_command {
    char *key;
    uint32_t *dest;
};
const struct config_command *command = ...;

weston_config_section_get_uint(..., command->dest, ...);
... weston_config_section_get_uint(..., uint32_t *value, ...) {
    *value = strtoul(entry->value, &end, 0);
}
```

cast in the function. However, that function would violate shallow immutability—it writes to fields as it initializes them. Using **const** in C appears to require developers to ignore the casting away of **const** qualifiers for constructor-like functions.

6.9 Summary

Table 8 summarizes the writes-through-**const**-qualifiers from benchmarks other than Protobuf and LevelDB. Across the 7 C++ projects we instrumented and ran, we observed 17 unique archetypes across a total of 142 288 dynamic occurrences. We manually divided these archetypes into 17 classifications. The root causes were evenly split between writes through mutable fields and transitive writes (8 of each) with one write-through-**const** due to casting. Valid attributes were mostly with-synchronization and because the write was not visible (7 and 6 respectively). The other valid attributes, writing to a buffer/cache and delayed initialization, occurred 4 times and 1 time respectively. The majority attribute, in 9 cases, was that the write was incorrect and violated intuitive notions of what **const** should mean. We reported our results to developers. Within a few days the developers simply removed incorrect **const** qualifiers in both fish and Mosh.

Table 8 Writes-through-const-qualifiers in our other benchmark programs were mainly incorrect uses of const.

Project	Location	Occurrences	Root Cause	Attributes
fish shell	wgetopt.cpp	98	С	Ι
Mosh	terminal.cc	432	Μ	Ι
LLVM	DFAPacketizerEmiter.cpp	112	\mathbf{M}	Ι
TableGen	CodeGenRegisters.cpp	170	Μ	В & N
Tesseract	ccutil/strngs.cpp	1	Μ	Ι
Ninja	disk_interface_test.cpp	39	Μ	Ν

We found 3 projects (LevelDB, Mosh, and Ninja) had writes that only occurred in tests. The writes-through-**const** we found were in testing code; writes were to counters only present in test environments. In Mosh, the fact that the writes were only for test purposes was not immediately obvious. However, discussions with the developers revealed that the handled variable was only used for debugging. All of these writes-through-**const** are related to test controllability, suggesting that this idiom should be supported directly in the programming language.

7 Related Work

We discuss a number of areas of related work: immutability (and related approaches) for Java; purity analyses; and dynamic analyses that inspired our approach. The Java programming language has no exact analog to C++'s **const** operator. Related work defined immutability annotations for Java and statically and dynamically verified that programs satisfy their annotations. Potanin et al [9] provide a recent discussion of immutability terminology and compare research implementations in depth. (Our implementation has at its core a dynamic analysis verifying that C++ programs satisfy a strengthened version of their **const** annotations.) A different stream of related work verifies whether (Java) methods are pure, i.e. have no visible side-effects; there is a strong connection between purity and immutability.

const for Java, and similar projects. Javari [17, 18] allows its users to specify read-only references in Java programs. It aims to ensure that a readonly (effectively, deeply-immutable const) typed object does not mutate its state or any state transitively reachable through its references. Javari, like C++, includes a mutable keyword, which allows developers to specify that a field may be modified regardless of readonly qualifiers. Javari also inserts dynamic checks to verify that downcasts maintain the immutability qualifier of the type. Essentially, Javari provides a safer version of C++'s const that, due to the nature of Java, maintains deep immutability unless the developer explicitly opts out of the checks.

Our work provides similar guarantees to those provided by Javari. Since Javari does not have an underlying C++ const specification to build on top of, it has to implement all of those checks itself. In terms of what we check, our work matches Javari in terms of transitivity and downcasts. Our work also reports writes to mutable fields at runtime.

Unlike Javari, we could investigate the behaviour of a set of real-world benchmark programs, developed against the C++ const semantics (and which, by necessity, satisfy those semantics). Our empirical study therefore points out the difference in practice between const semantics as they exist—shallow immutability, mutable, and const casting; and a stronger version of these semantics—deep immutability, no mutable, and no const casting.

A related concept to **const** is that of stationary fields, as proposed by Unkel and Lam [19]. A stationary field is similar to a Java final field. A Java final field can only be written to in a constructor. By contrast, a stationary field is only written to before it is read. In essence, a stationary field acts like a final field but with fewer restrictions on where initialization may occur. Nelson et al [8] performed a follow-up study using a dynamic analysis which determined that 72–82% of fields in Java programs are stationary. Their work, like ours, empirically explores how programs use (or could use, in their case) language features.

Purity analyses. A pure method does not modify any state accessible before the method was called. Pure methods may create and modify objects to return to the caller. A function which writes to no global state and has all arguments transitively **const** is pure.

ReIm and its corresponding type inference system, ReImInfer [5], is similar to Javari, except that its type system is context sensitive. ReIm was designed to find method purity. Its type system allows the immutability of the return type to match that of the calling reference. This allows methods to be reused without requiring mutable and read-only versions. ReImInfer is a type inference system that maximizes the amount of methods marked as readonly. They report that 41–69% of methods can be marked as readonly.

Other systems also verify method purity. JPPA is a combined pointer and escape analysis for Java [12, 11]. Sălcianu and Rinard found over half the methods they analyzed were pure.

XX:24 C++ const and Immutability: An Empirical Study of Writes-Through-const

Rytz et al [10] instead use a simpler flow-insensitive analysis and find similar results.

Artzi et al proposed a combined dynamic and static analysis for mutability [1]. Their analysis determines the mutability of method parameters. The goal of that work was to scale better and produce more precise results than static analysis alone.

const-correctness through abstract machines. Chisnall et al [3] propose a memory-safe abstract machine for C which can be used to verify that immutable objects (declared with const) are never mutated through non-const aliases. This resembles our approach of using shadow values to track the declared const-ness of an object. (They do not investigate transitive immutability.) Our study, by contrast, focuses solely on writes-through-const. All of their subject programs removed a const qualifier at some point. It was beyond the scope of their work to investigate how and why their subject programs removed the const qualifier.

Other dynamic analyses. Our dynamic analysis approach is similar to approaches used in Umbra [20] and Dr. Memory [2]. Like Umbra and Dr. Memory, we use shadow values to detect interesting program behaviours. However, ConstSanitizer builds directly on LLVM and does not use a dynamic instrumentation platform. Furthermore, the properties that we verify are novel **const**-related properties, compared to Dr. Memory, which looks for memory errors such as accesses to unallocated space, and Umbra, which helps developers understand threads' memory access patterns and implements almost-free custom watchpoints.

8 Conclusion

The **const** qualifier in C++ is extensively used in real-world code, but developer intent behind **const** usage is unclear. In this paper, we have presented our ConstSanitizer system. ConstSanitizer dynamically detects writes through **const** qualifiers which are legal in C++but which modify state transitively starting from a **const** qualifier, write to mutable fields, or write to values whose **const**-ness has been cast away. Our results show that, although writes through **const** are ubiquitous across our 7 C++ and 1 C benchmark programs, there are only a small number (17) of archetypes these writes. We used our results to develop a classification of writes according to root cause (transitivity, mutable field, or const cast) and attributes (synchronized, not visible, buffer/cache, delayed initialization, incorrect). Our work helps understand how the **const** qualifier is used in practice and leads us to conclude:

- Developers definitely violate bitwise const (RQ1).
- The majority of write-through-const archetypes (9/17) are incorrect code which observably change an object's state.
- On our benchmarks, programs write-through-const about equally often using transitive writes through fields (8/17) and writes to mutable fields (8/17) (RQ2).
- We observed four classes (N, B, D, S, discussed in Section 5) of valid reasons for writesthrough-const-qualifiers. For instance, sometimes developers write-through-const to delay initialization or implement buffer caches. Such writes should be automatically validated by yet-to-be-developed tools.
- About half (9/17) of the observed usages are invalid, consisting of methods which implemented exceptions to an object's const-ness; perhaps the developers chose to add one exception rather than remove const completely. (RQ3)

Acknowledgements. This work was supported in part by Canada's Natural Science and Engineering Research Council as well as a Google Faculty Research Award.

— References

- 1 Shay Artzi, Adam Kiezun, David Glasser, and Michael D. Ernst. Combined static and dynamic mutability analysis. In *ASE*, pages 104–113, November 2007.
- 2 Derek Bruening and Qin Zhao. Practical memory checking with Dr. Memory. In CC, pages 213–223, 2011.
- 3 David Chisnall, Colin Rothwell, Robert N. M. Watson, Jonathan Woodruff, Munraj Vadera, Simon W. Moore, Michael Roe, Brooks Davis, and Peter G. Neumann. Beyond the PDP-11: architectural support for a memory-safe C abstract machine. In ASPLOS, 2015.
- 4 Felix Fang. Personal communication, 2015.
- 5 Wei Huang, Ana Milanova, Werner Dietl, and Michael D. Ernst. ReIm & ReImInfer: Checking and inference of reference immutability and method purity. In *OOPSLA*, 2012.
- 6 ISO. Programming languages—C++. N3690, May 2013.
- 7 Scott Meyers. Effective C++: 55 Specific Ways to Improve Your Programs and Designs. Addison Wesley, 3rd edition, 2005.
- 8 Stephen Nelson, David J. Pearce, and James Noble. Profiling field initialisation in Java. In RV, volume 7687 of LNCS, pages 292–307, 2012. doi:10.1007/978-3-642-35632-2_28.
- 9 Alex Potanin, Johan Östlund, Yoav Zibin, and Michael D. Ernst. Immutability. In Aliasing in Object-Oriented Programming. Types, Analysis and Verification, volume 7850 of LNCS, pages 233–269. 2013.
- 10 Lukas Rytz, Nada Amin, and Martin Odersky. A flow-insensitive, modular effect system for purity. In *FTFJP*, July 2013.
- 11 Alexandru Salcianu. Pointer Analysis for Java Programs: Novel Techniques and Applications. PhD thesis, MIT, 2006.
- 12 Alexandru Salcianu and Martin C. Rinard. Purity and side effect analysis for Java programs. In VMCAI, pages 199–215, January 2005.
- 13 Konstantin Serebryany, Derek Bruening, Alexander Potapenko, and Dmitriy Vyukov. AddressSanitizer: A fast address sanity checker. In USENIX Annual Technical Conference, pages 309–318, 2012.
- 14 Evgeniy Stepanov and Konstantin Serebryany. MemorySanitizer: Fast detector of uninitialized memory use in C++. In CGO, pages 46–55, 2015.
- 15 Herb Sutter. GotW #6a solution: Const-correctness, part 1. http://herbsutter.com/ 2013/05/24/gotw-6a-const-correctness-part-1-3/, May 2013. Accessed Dec 2015.
- 16 LLVM Team. The LLVM compiler infrastructure. http://llvm.org/, December 2015.
- 17 Matthew S. Tschantz. Javari: Adding reference immutability to Java. Master's thesis, Massachusetts Institute of Technology, 2006.
- 18 Matthew S. Tschantz and Michael D. Ernst. Javari: Adding reference immutability to Java. In OOPSLA, pages 211–230, October 2005.
- 19 Christopher Unkel and Monica S. Lam. Automatic inference of stationary fields: a generalization of Java's final fields. In POPL, pages 183–195, January 2008.
- 20 Qin Zhao, Derek Bruening, and Saman P. Amarasinghe. Umbra: Efficient and scalable memory shadowing. In CC, pages 22–31, 2010.